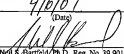


INFORMATION DISCLOSURE STATEMENT

Applicant : Hiroshi Shimbori
App. No : 10/561,760
Filed : December 21, 2005
For : RESIN FOR POSITIVE RESIST
COMPOSITION, AND POSITIVE
RESIST COMPOSITION USING THE
SAME, LAMINATE AND METHOD
FOR FORMING RESIST PATTERN
Examiner : Unknown
Art Unit : Unknown

CERTIFICATE OF EFS WEB
TRANSMISSION

I hereby certify that this correspondence, and
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(Date)

Neil S. Bartfeld, Ph.D., Reg. No. 39,901

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 2 references to be considered by the Examiner. Also enclosed is 1 foreign patent reference and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing date of a Notice of Allowance.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Appl. No. : 10/561,760
Filed : December 21, 2005

Docket No. SHIGA7.039APC
Customer No. 20,995

Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/6/07

By: 

Neil S. Bartfeld, Ph.D.
Registration No. 39,901
Agent of Record
Customer No. 20,995
(619) 235-8550

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Multiple sheets used when necessary)</i> | Application No. | 10/561,760 |
| | Filing Date | December 21, 2005 |
| | First Named Inventor | Hiroshi Shimbori |
| | Art Unit | Unknown |
| | Examiner | Unknown |
| SHEET 1 OF 1 | | Attorney Docket No. SHIGA7.039APC |

U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. | Document Number Number - Kind Code (if known) Example: 1,234,567 B1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. | Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | T ¹ |
|-------------------|----------|--|--------------------------------|-------------------------------|--|----------------|
| | 2 | JP 2000-310859 | 07-11-2000 | TOKYO OHKA KOGYO CO. LTD. | | X |
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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ¹ |
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| Examiner Signature | Date Considered |
| *Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

T¹ - Place a check mark in this area when an English language Translation is attached.